

SEP 20 2004

hereby certify that this correspondence is being deposited with the U.S. Postal Service with sufficient postage as First Class Mail, in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on the date shown below.

Dated September 15, 2004 Signature: \_\_\_\_\_

(Marcus J. Millet)

Docket No.: TRANS 3.0-053 CIP  
(PATENT)

IPW

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Patent Application of:  
Lopath et al.

Application No.: 10/635,170

Group Art Unit: 3739

Filed: August 6, 2003

Examiner: Not Yet Assigned

For: BALLOON ALIGNMENT AND  
COLLAPSING SYSTEM

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**INFORMATION DISCLOSURE STATEMENT**

Dear Sir:

It is respectfully requested that the reference listed on the enclosed form be made of record and considered with respect to the above-referenced U.S. patent application. In accordance with the notice at 1276 O.G. 55, copies of the U.S. patents are not enclosed. Submission of the present Information Disclosure Statement should not be taken as an admission that the cited reference is legally available prior art or that the same are pertinent or material.

In the event that any fee is due in connection with the present Information Disclosure Statement, the Commissioner is hereby authorized to charge the same to our Deposit Account No. 12-1095.

Dated: September 15, 2004

Respectfully submitted,

By \_\_\_\_\_

Marcus J. Millet

Registration No.: 28,241

LERNER, DAVID, LITTENBERG,

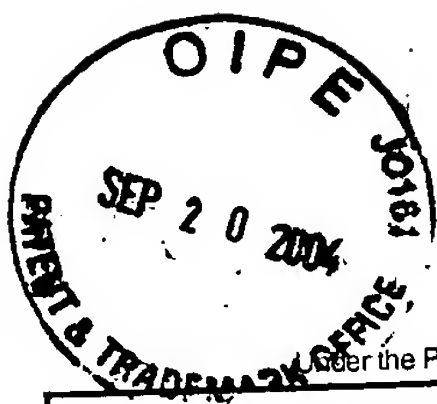
KRUMHOLZ & MENTLIK, LLP

600 South Avenue West

Westfield, New Jersey 07090

(908) 654-5000

Attorney for Applicant



Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

<b>Substitute for form 1449A/B/PTO</b>  <b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  <i>(Use as many sheets as necessary)</i>				<b>Complete if Known</b>	
				Application Number	10/635,170
				Filing Date	August 6, 2003
				First Named Inventor	Patrick David Lopath
				Art Unit	3739
				Examiner Name	Not Yet Assigned
Sheet	1	of	1	Attorney Docket Number	TRANS 3.0-053 CIP

U.S. PATENT DOCUMENTS					
Examiner Initials*	Cite No. <sup>1</sup>	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code <sup>2</sup> (if known)			
	AA	US-4,338,942	07/1982	FOGARTY, THOMAS J.	
	AB	US-4,403,612	09/1983	FOGARTY, THOMAS J.	
	AC	US-5,338,295	08/1994	CORNELIUS ET AL.	
	AD	US-5,643,279	07/1997	TROTTA, THOMAS N.	
	AE	US-5,669,932	09/1997	FISCHELL ET AL.	
	AF	US-5,776,141	07/1998	KLEIN ET AL.	
	AG	US-5,800,392	09/1998	RACCHINI, JOEL R.	
	AH	US-5,868,708	02/1999	HART ET AL.	
	AI	US-5,868,779	02/1999	RUIZ, CARLOS E.	
	AJ	US-6,011,995	01/2000	GUGLIELMI ET AL.	
	AK	US-6,096,054	08/2000	WYZGALA ET AL.	
	AL	US-6,102,908	08/2000	TU ET AL.	
	AM	US-6,183,492	02/2001	HART ET AL.	
	AN	US-6,355,051	03/2002	SISSKIND ET AL.	
	AO	US-6,589,274	07/2003	STIGER ET AL.	
	AP	US-6,607,476	08/2003	BARNHART, WILLIAN H.	
	AQ	US-6,626,861	09/2003	HART ET AL.	

FOREIGN PATENT DOCUMENTS					
Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Country Code <sup>3</sup> -Number <sup>4</sup> -Kind Code <sup>5</sup> (if known)			

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. \*\* CITE NO.: Those patent(s) or publication(s) which are marked with an double asterisk (\*\*) next to the Cite No. are not supplied because they were previously cited by or submitted to the Office in a prior application relied upon in this application for an earlier filing date under 35 U.S.C. 120. <sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

NON PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> Applicant is to place a check mark here if English language Translation is attached.

515381\_1.DOC

Examiner Signature	Date Considered
-----------------------	--------------------